



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/820,387
		Filing Date	April 7, 2004
		First Named Inventor	KAZA, SRINIVAS
		Art Unit	Unassigned
		Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number	018563-006410US

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Return Postcard PTO/SB/08A & PTO/SB/08B
		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Townsend and Townsend and Crew LLP	
	James M. Heslin	Reg. No. 29,541
Signature		
Date	May 5, 2004	

CERTIFICATE OF TRANSMISSION/MAILING

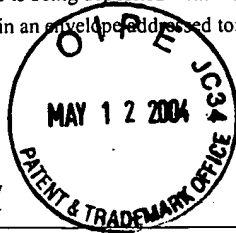
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Typed or printed name	Edward Masinas		
Signature		Date	May 10, 2004

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PATENT
Attorney Docket No.: 018563-006410US
Client Reference No.: AT00120.1



On 5-10-04

TOWNSEND and TOWNSEND and CREW LLP

By: Edward Masinas

Edward Masinas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SRINIVAS KAZA

Application No.: 10/820,387

Filed: April 7, 2004

For: SYSTEM AND METHOD FOR
POSITIONING TEETH

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 10/044,385, filed January 10, 2002 (Attorney Docket No. 018563-006400US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

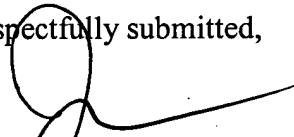
As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



James M. Heslin
Reg. No. 29,541

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60209138 v1



Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	10/820,387		
		Filing Date	April 7, 2004		
		First Named Inventor	KAZA, SRINIVAS		
		Art Unit	Unassigned		
Examiner Name	Unassigned				
Sheet	1	of	4	Attorney Docket Number	018563-006410US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	1	1,831,390	11-10-1931	Lindelov	
	2	3,922,786	12-02-1975	Lavin	
	3	3,983,628	10-05-1976	Acevedo	
	4	4,001,938	01-11-1977	Cooper	
	5	4,022,419	05-10-1977	Haker	
	6	4,161,065	07-17-1979	Gigante	
	7	4,323,799	04-06-1982	King et al.	
	8	4,324,546	04-13-1982	Heitlinger et al.	
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	10	4,500,294	02-19-1985	Lewis	
	11	4,504,225	03-12-1985	Yoshii	
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	14	4,591,341	05-27-1986	Andrews	
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	22	5,028,232	07-02-1991	Snow	
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	24	5,119,408	06-02-1992	Little et al.	
	25	5,139,419	08-18-1992	Andreiko et al.	
	26	5,338,198	08-16-1994	Wu et al.	
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	29	5,342,202	08-30-1994	Deshayes	
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	31	5,382,164	01-17-1995	Stern	
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	33	5,424,836	06-13-1995	Weise et al.	
	34	5,431,562	07-11-1995	Andreiko et al.	
	35	5,434,418	07-18-1995	Schick	
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	38	5,454,717	10-03-1995	Andreiko et al.	
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	40	5,533,895	07-09-1996	Andreiko et al.	
	41	5,549,476	08-27-1996	Stern	

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.
60209138 v1

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Sheet	2	of	4	Attorney Docket Number	018563-006410US

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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	42	5,550,891	08-27-1996	Burbury et al.	
	43	5,587,912	12-24-1996	Andersson et al.	
	44	5,605,459	02-25-1997	Kuroda et al.	
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	50	5,740,267	04-14-1998	Echerer et al.	
	51	5,851,115	12-22-1998	Carlsson et al.	
	52	5,866,058	02-02-1999	Batchelder et al.	
	53	5,880,961	03-09-1999	Crump	
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	55	5,927,973	07-27-1999	Hanebaum et al.	
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	58	5,995,583	11-30-1999	Schick et al.	
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	72	6,318,994	11-20-2001	Chishti et al.	
	73	2002/0081554	06-27-2002	Michael et al.	

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Sheet	3	of	4	Attorney Docket Number	018563-006410US

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	74	WO	91/03980		04-04-1991			<input type="checkbox"/>
	75	WO	94/10935		05-26-1994			<input type="checkbox"/>
	76	WO	98/15227		04-16-1998			<input type="checkbox"/>
	77	WO	98/32394		07-30-1998			<input type="checkbox"/>
	78	WO	00/74374		12-07-2000			<input type="checkbox"/>

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				Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	4	of	4	Attorney Docket Number	018563-006410US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	79	Biostar Operation & Training Manual, Great Lakes Orthodontics, Ltd. 199 Fire Tower Drive, Tonawanda, New York 14150-5890, 20 pp.	
	80	Graber, T.M., D.D.S., M.S.D., Ph.D., Orthodontics Principles and Practice, 2nd. Ed., W.B. Saunders Co., 1966.	
	81	Kuroda, T., DDS, PhD, et al., Three-dimensional dental cast analyzing system using laser scanning, <i>American Journal of Orthodontics and Dentofacial Orthopedics</i> , October 1996, pp. 365-369.	
	82	Raintree Essix™ & ARS Materials, Inc., Raintree Essix™ Technical Magazine Table of Contents and Essix™ Applications, http://www.essix.com/magazine/default.html (Aug. 13, 1997) 7 pages.	
	83	Rekow, Dianne, M.S.M.E., D.D.S., Computer-aided design and manufacturing in dentistry: A review of the state of the art, <i>The Journal of Prosthetic Dentistry</i> , Vol. 58, No. 4, October 1987, pp. 512-516.	
	84	Doyle, "Digital Dentistry" <i>Computer Graphics World</i> (October 2000) pp. 50-52, 54.	
	85	Redmond et al., "Clinical Implications of Digital Orthodontics" <i>Am. J. Orthodont. Dentofacial Orthopedics</i> (2000) 117(2):240-242.	

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